

## **Certified AI Assurance Auditor (CAIAA)**

Full Accreditation Submission Packet

Issuing Organization: International Artificial Intelligence Management Association (IAIM)

Certification Level: Independent Assurance Auditor – Professional

### **1. Program Overview**

The Certified AI Assurance Auditor (CAIAA) certification establishes a rigorous, independent assessment framework for evaluating AI governance systems, practices, and outcomes. The program prepares auditors to conduct evidence-based reviews across AI categories, authority levels, and ethical domains, producing defensible findings and actionable recommendations.

### **2. Program Purpose & Distinctive Position**

CAIAA equips professionals to provide independent assurance on AI governance effectiveness. Unlike implementation-focused roles, CAIAA emphasizes objectivity, independence, evidence standards, and domain-based evaluation to determine whether organizations' AI practices align with stated ethics and governance commitments.

### **3. Learning Outcomes**

- Apply the Derivative and Daisy Chain Principles in independent assessments.
- Evaluate alignment versus inversion across the Seven Domains of ethical AI architecture.
- Classify AI systems by functional category and First Mover Authority level.
- Design and execute AI Governance 360 assessments using multiple evidence sources.
- Produce structured findings, ratings, and defensible audit reports.
- Maintain professional independence, skepticism, and ethical integrity.
- Communicate results to governance bodies and management with clarity and authority.

### **4. Curriculum Structure**

The CAIAA program consists of fifteen instructional sections:

1. Overview
2. The Critical Context
3. Philosophical Foundations
4. The AI Categorization Framework
5. First Mover Authority Levels
6. The Architecture of Ethical Action: Seven Domains
7. Execution Integrity and Value Distribution
8. Disorder Response and Reality Constituting Communication
9. Presence Enabling Environment

10. 10. Contextual Consistency
11. 11. Assessment Methodology
12. 12. Professional Practice and Independence
13. 13. Working with Governance and Management
14. 14. Common Findings and Recommendations
15. 15. Professional Integration and Continuous Improvement

## **5. Instructional Hours & Delivery Model**

Total Instructional Hours: 28–36 Hours

Delivery Formats: Instructor-Led (In-Person or Virtual), Hybrid, Self-Paced

Instruction Includes: Case-Based Analysis, Evidence Review Exercises, Audit Simulations

## **6. Competency Domains**

- Independent AI Governance Assessment
- Evidence Collection and Analysis
- Domain Scoring and Evaluation
- Professional Independence & Ethics
- Audit Reporting & Recommendations
- Stakeholder Communication
- Continuous Improvement & Monitoring

## **7. Examination Blueprint**

Exam Format: Proctored Certification Examination

Total Questions: 100 Multiple-Choice Questions

Duration: 180 Minutes

Passing Score: 85%

Cognitive Distribution: Knowledge (30%), Application (40%), Analysis (30%)

## **8. Assessment Methodology**

Assessment includes structured evidence review, interviews, technical verification, domain scoring analysis, and a comprehensive certification examination.

## **9. Target Audience**

- Internal Auditors
- External Assurance Providers
- Regulatory Oversight Professionals
- Risk & Compliance Leaders
- AI Governance Assessors
- Independent Consultants

## 10. Prerequisites

Professional experience in auditing, risk management, compliance, governance, or AI program oversight is recommended.

## 11. Certification Award Requirements

Certification is awarded upon completion of all instructional modules and successful achievement of the minimum passing score on the CAIAA certification examination.

## 12. Continuing Education & Renewal Policy

Requirement	Details
Certification Period	Annual
CPE Requirement	10 CPE hours (can include IAIM online content)
Renewal Fee	Per current IAIM fee schedule
Recertification Exam	Passing Score within 90 days of Renewal Date

## 13. Quality Assurance & Review Process

The CAIAA curriculum undergoes periodic review to maintain alignment with global AI regulatory standards, governance frameworks, and evolving risk environments.

## 14. Regulatory Alignment Statement

The CAIAA assurance framework aligns with major global standards including the NIST AI Risk Management Framework (AI RMF), ISO/IEC 42001 AI Management Systems, and the EU AI Act governance requirements.